

## Department of Commerce Architecture Affinity Group

### Architecture Inventory Summary June 15, 1999

The information in the subsequent tables summarizes the information gathered to date from the "<u>Inventory of IT Architecture Efforts Survey</u>" conducted by the Department of Commerce's Architecture Affinity Group. There are multiple pages to represent the series of questions and responses with each line representing an organization. The organizations are as follows:

OSec Office of the Secretary (Department of Commerce)

GC Office of General Counsel

BXA Bureau of Export Administration

EDA Economic Development Administration

ESA Economic & Statistics Administration

BOC Bureau of Census

BEA Bureau of Economic Analysis

STAT-USA STAT-USA

ITA International Trade Administration

NOAA National Oceanic & Atmospheric Administration

NISDI NOAA Information Services Delivery Initiative

NWS National Weather Service

NESDIS National Environmental Satellite, Data and Information Service

NMFS National Marine Fisheries Service

NOS National Ocean Service

OAR GFDL Office of Oceanic and Atmospheric Research

MBDA Minority Business Development Agency

NTIA National Telecommunications & Information Administration

PTO Patent and Trademark Office

TA Technology Administration

NIST National Institute of Standards & Technology

NTIS National Technical Information Service

| De           | partment o | f Commerce - A   | rchitect   | ture Inve | entory Effort              | s Survey            | ,                    |                   | Tabl                         | e 1    |          |
|--------------|------------|--|--|-----------|----------------------------|---------------------|----------------------|-------------------|------------------------------|--------|----------|
|              |            |  | What parts of the org are covered by the architecture?  What is the scope of your I'me status of |           |                            |                     | T architecture?      |                   |                              |        |          |
| <u>Orgai</u> | nization_  | What is the status of<br>your organization's<br>efforts to develop an<br>IT Architecture?  | Entire   | Portions  | Administrative<br>Business | Mission<br>Business | Information<br>Sets/ | Appl.<br>Software | Technology<br>Infrastructure |        |          |
|              |            | 11 Alcintecture:   | Littile  | Tortions  | Processes                  | Processes           | Databases            | Software          | H<br>W                       | S<br>W | T<br>C   |
| OSec         | OSec       | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |
| OSCC         | GC         | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |
| В            | XA         | Completed and<br>Implementation<br>Underway  | 1  |           | 1                          | 1                   | 1                    | ✓                 | 1                            | ✓      | ✓        |
| Е            | DA         | Initiated<br>Not yet completed   | ✓  |           | ✓                          | ✓                   | ✓                    | ✓                 | ✓                            | ✓      | 1        |
| ESA          | Census     | Partially Complete<br>Remainder under<br>Development   | 1  |           | 1                          | 1                   | 1                    | ✓                 | 1                            | 1      | <b>\</b> |
| ESA          | BEA        | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |
|              | STAT-USA   | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |
| ľ            | ТА         | Partially Complete<br>Remainder under<br>Development   | 1  |           |                            |                     |                      |                   | ✓                            | 1      | ✓        |
|              | NISDI      | Target Architecture<br>Completed   |  |           |                            |                     |                      |                   |                              |        |          |
|              | NWS        | Under Development  | ✓  |           | ✓                          | 1                   | ✓                    | 1                 | 1                            | ✓      | ✓        |
| NOAA         | NESDIS     | Completed and<br>Implementation<br>Underway  |  | 1         |                            | 1                   | 1                    | ✓                 | 1                            | ✓      | ✓        |
| 1107111      | NMFS       | Target Architecture<br>and Baseline<br>Completed<br>Implementation<br>Underway   | 1  |           | <b>✓</b>                   | 1                   | 1                    | 1                 | 1                            | 1      | <b>√</b> |
|              | NOS        | Plan to Begin Soon   |  |           |                            |                     |                      |                   |                              |        |          |
|              | OAR GFDL   | Completed and Implementation Underway  Target Architecture and Baseline Completed Implementation Underway  Plan to Begin Soon  Not Yet Contacted |  | 1         | ✓                          | 1                   |                      |                   |                              |        |          |
| MBDA         |            | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |
| NTIA         |            | Completed and<br>Implementation<br>Underway  |  |           |                            |                     |                      |                   |                              |        |          |
| PTO          |            | Competed and<br>Implemented  | ✓  |           | ✓                          | ✓                   | ✓                    | 1                 | 1                            | ✓      | 1        |
| TA           | NIST       | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |
| IA           | NTIS       | Not Yet Contacted  |  |           |                            |                     |                      |                   |                              |        |          |

| Department of Commerce - Architecture Inventory Efforts Survey  Table 2 |          |                                     |  |             |   |   |                    |                     |                |         |     |
|---|----------|-------------------------------------|--|-------------|---|---|--------------------|---------------------|----------------|---------|-----|
| <u>Organization</u>   |          | How was the architecture developed? |  |             | If you followed outside guidance,   |   | Resources Expended |                     |                |         |     |
|   |          | In-House<br>Contractor              |  | Combination | what was it?  (TAFIM=Technical Architecture Framework for Information Management, TISAF=Treasury Information System Architecture Framework, TADP=Treasury Architecture Development Process) |   | Tir                | ne                  | Approx<br>Cost | FTE     |     |
|   |          |                                     |  |             |   |   | 6-12 mos.          | 1-2 yrs.<br>2+ yrs. |                |         |     |
| Ogra  | OSec     |                                     |  |             |   |   |                    |                     |                |         |     |
| OSec  | GC       |                                     |  |             |   |   |                    |                     |                |         |     |
| В   | BXA      |                                     |  | ✓           | Contractor Experience   |   | 1                  |                     |                | \$ 150K | 6   |
| Е   | EDA      |                                     |  | 1           |   | 1 |                    |                     |                |         | .25 |
| EGA   | Census   |                                     |  | ✓           | Federal Architecture Framework<br>TISAF, TADP   |   | 1                  |                     |                | \$ 80K  | .5  |
| ESA   | BEA      |                                     |  |             |   |   |                    |                     |                |         |     |
|   | STAT-USA |                                     |  |             |   |   |                    |                     |                |         |     |
| I   | TA       | 1                                   |  |             |   | 1 |                    |                     |                |         | .25 |
|   | NISDI    |                                     |  |             |   |   |                    |                     |                |         | 4-5 |
|   | NWS      | 1                                   |  |             |   |   |                    |                     | 1              |         |     |
| NOAA  | NESDIS   |                                     |  | 1           | TAFIM   |   |                    | 1                   |                | \$ 763K | 4   |
|   | NMFS     |                                     |  | ✓           | TAFIM   |   |                    | 1                   |                | \$ 500K | 4   |
|   | NOS      |                                     |  |             |   |   |                    |                     |                |         |     |
|   | OAR GFDL | 1                                   |  |             | TAFIM   |   | 1                  |                     |                |         | 1   |
| MBDA  |          |                                     |  |             |   |   |                    |                     |                |         |     |
| NTIA  |          | 1                                   |  |             |   |   |                    |                     | ✓              |         |     |
| PTO   |          | 1                                   |  |             | TAFIM, NIST APP,<br>Internal Bureau Guidance  |   |                    | 1                   |                |         | 1   |
| TA  | NIST     |                                     |  |             |   |   |                    |                     |                |         |     |
| IA  | NTIS     |                                     |  |             |   |   |                    |                     |                |         |     |

| Dep                 | artment of | Com  | mer           | ce - A | Architecture 1  | Inventory 1                     | Efforts Surv  | ey   | Ta  | ıble 3 |  |
|---------------------|------------|--|---------------|--------|---|---------------------------------|---|--|---|--------|--|
| <u>Organization</u> |            | Is the<br>Architecture<br>documented and<br>updated? |               |        | How has your organization benefitted from having an Architecture or partial Architecture? |                                 |   |  |   |        |  |
|                     |            | No / Not Yet   | Doc / Not Upd | səX    | Reduced Cost<br>of Procurement,<br>Maintenance,<br>Operations                             | Improved<br>Business<br>Process | Easier to<br>Communicate<br>w/ outside<br>customers | Easier to<br>plan future<br>IT actions<br>and activities | Relieved<br>OMB and<br>other<br>oversight<br>requirements | Other  |  |
| OSec                | OSec       |  |               |        |   |                                 |   |  |   |        |  |
| OSCC                | GC         |  |               |        |   |                                 |   |  |   |        |  |
| В                   | BXA        |  |               |        |   | ✓                               |   | ✓  | ✓   | (1)    |  |
| El                  | DA         |  |               |        |   |                                 |   |  |   |        |  |
|                     | Census     | 1  |               |        |   | ✓                               |   | ✓  | ✓   | (2)    |  |
| ESA                 | BEA        |  |               |        |   |                                 |   |  |   |        |  |
|                     | STAT-USA   |  |               |        |   |                                 |   |  |   |        |  |
| I                   | ΓΑ         |  | 1             |        | ✓   |                                 |   | ✓  |   | (3)    |  |
|                     | NISDI      |  |               |        |   |                                 |   |  |   |        |  |
|                     | NWS        |  |               |        |   |                                 |   |  |   |        |  |
| NOAA                | NESDIS     |  | 1             |        | ✓   | ✓                               | ✓   | ✓  |   |        |  |
|                     | NMFS       |  |               | ✓      |   | ✓                               | ✓   | ✓  | ✓   | (4)    |  |
|                     | NOS        |  |               |        |   |                                 |   |  |   |        |  |
|                     | OAR GFDL   |  |               | 1      | ✓   | ✓                               |   | ✓  | 1   |        |  |
| MBDA                |            |  |               |        |   |                                 |   |  |   |        |  |
| NTIA                |            |  |               | 1      | ✓   | 1                               | 1   | ✓  |   |        |  |
| РТО                 |            |  |               | 1      | ✓   | <b>✓</b>                        | 1   | ✓  | 1   | (5)    |  |
|                     | NIST       |  |               |        |   |                                 |   |  |   |        |  |
| TA                  | NTIS       |  |               |        |   |                                 |   |  |   |        |  |

Organizations that are shaded have not yet been contacted and/or information is still being gathered.

#### **Supplemental Information:**

#### What contractors were used for your Architecture development?

BXA: Booz, Allen Hamilton

Census: IIT Research Institute (IITRI)

**NESDIS: Marada Corporation** 

NMFS: SAO and KPA, Incorporated

#### How has your organization benefitted from having an Architecture or partial Architecture?

#### Other reasons stated:

- (1) Easier to get funding with the Architecture documentation. Budget initiative in FY1998 was not approved based on lack of architecture.
- (2) Complemented and strengthened our IT Standards Program
- (3) Promoted infrastructure standardization.
- (4) Fostered a cultural change from a climate of distrust, isolation and redundancy to a more collaboration and interdependence across this divers agency. As the consciousness of the importance and pervasiveness of IT throughout the agency's business processes, this climate has begun to spread well beyond the IT professionals to the agency itself.
- (5) a. Response to quickly changing business requirements
  - b. Easier to infuse new technology
  - c. Reduce IT personnel training costs
  - d. improve productivity through data reuse and resource sharing
  - e. promote vendor independence through use of standards-based products
  - f. the most valuable benefits are that there is a tangible guideline to be used for: acquiring IT products and services, developing and maintaining automated information systems, and designing the IT infrastructure.

# <u>Do you have any other comments or advice to others contemplating IT Architecture policies or efforts?</u>

NESDIS: Developing an IT Architecture is by no means an easy thing to do. You need the right mix of

technically sound visionaries, knowledgeable systems personnel and enterprise thinkers. However, the benefits derived from having your organization operating under a common

architecture are far greater than the effort put forth to achieve it.

BXA: It was well worth the time and effort. Working with the contractor was a good experience.

Census:

Be sure to have architecture implementation and maintenance issues worked out up front. Have a good answer to the question, "what does it mean to me" when the users and operating users ask.

NTIA:

In about 1986 NTIA's Office of Policy Coordination began reviewing ADP purchases to insure that they were needed and were the best alternative for specific business processes. They were also reviewed for consistency with our internal hardware and software standards. This stringent review process continued until about 1994. It was then decided that such detailed reviews were no longer necessary and that our Architecture and standards were firmly established.

PTO: Before starting IT Architecture efforts, an agency should:

- 1 Research the IT Architecture Frameworks that currently exist in governments, industries or education arenas
- 2 Select a framework that best fits your agency's strategic corporate performance and information technology plans
- 3 Ensure the developer(s) have in-depth understanding and knowledge of the framework to be used and be familiar with the agency's strategic information technology plan
- 4 Interact closely with agency senior lead business managers to educate and obtain buy-in
- Use extreme care on the Standards of Profile and Products development to ensure interoperability. The products should be tested against your agency environment first. The NIST Software Standard Group and Conformance Testing Group have listed some standards and validated products on the web for references. Other standard bodies, e.g., IEEE, ISO, W3C can also be referenced
- 6 Set up Software Engineering Process Group and Technical Review Board to enforce your architecture
- 7 Establish life cycle management policies and procedures that require conformance to IT Architecture
- 8 Establish acquisition policy and procedures that require conformance to IT Architecture.